

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: SAREEN ET AL. Examiner: UNKNOWN  
Serial No.: 10/663,291 Group Art Unit: 2127  
Filed: SEPTEMBER 16, 2003 Docket: 60001.0272US01/MS 301788.1  
Confirmation No.: 2618  
Title: USER INTERFACE FOR CONTEXT SENSITIVE CREATION OF ELECTRONIC MAIL  
MESSAGE HANDLING RULES

CERTIFICATE UNDER 37 CFR 1.8:

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail, with sufficient postage, in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on March 16, 2004.

By: \_\_\_\_\_

Name: Leonard J. Hope

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**27488**

PATENT TRADEMARK OFFICE

Sir:

We are transmitting herewith the attached:

- ☒ Transmittal Sheet in duplicate containing Certificate of Mailing
- ☒ Information Disclosure Statement, Form 1449, 9 Reference(s)
- ☒ Return postcard

Please consider this a PETITION FOR EXTENSION OF TIME for a sufficient number of months to enter these papers or any future reply, if appropriate. Please charge any additional fees or credit overpayment to Deposit Account No. 13-2725. A duplicate of this sheet is enclosed.

MERCHANT & GOULD LLC  
P.O. Box 2903, Minneapolis, MN 55402-0903  
404.954.5100

By: \_\_\_\_\_

Name: Leonard J. Hope

Reg. No.: 44,774

LHope:PLStdM



S/N 10/663,291

PATENT

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By: 

Name: Leonard J. Hope

INFORMATION DISCLOSURE STATEMENT (37 C.F.R. § 1.97(b))

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

With regard to the above-identified application, the items of information listed on the enclosed Form 1449 are brought to the attention of the Examiner.

This statement should be considered because it is submitted before the mailing date of a first Office Action on-the-merits. Accordingly, no fee is due for consideration of the items listed on the enclosed Form 1449.

In accordance with 37 C.F.R. §1.98(a)(2)(i), a copy of the U.S. patents and U.S. patent publications listed on the enclosed Form 1449 are not provided, as this application was filed after June 30, 2003. A copy of any foreign patent document or "Other Document" listed on the Form 1449 is enclosed, in accordance with 37 C.F.R. §1.98(a)(2).

No representation is made that a reference is "prior art" within the meaning of 35 U.S.C. §§ 102 and 103 and Applicants reserve the right, pursuant to 37 C.F.R. § 1.131 or otherwise, to establish that the reference(s) are not "prior art." Moreover, Applicants do not represent that a reference has been thoroughly reviewed or that any relevance of any portion of a reference is intended.

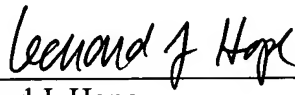
Consideration of the items listed is respectfully requested. Pursuant to the provisions of M.P.E.P. 609, it is requested that the Examiner return a copy of the attached Form 1449, marked as being considered and initialed by the Examiner, to the undersigned with the next official communication.

Please charge any additional fees or credit any overpayment to Deposit Account No. 13-2725.

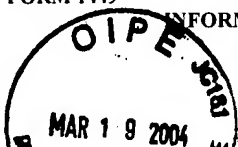
Respectfully submitted,

MERCHANT & GOULD P.C.  
P. O. Box 2903  
Minneapolis, Minnesota 55402-0903  
404.954.5100

Date: March 16, 2004

  
\_\_\_\_\_  
Leonard J. Hope  
Reg. No. 44,774  
LJH:PLStdM



<b>FORM 1449*</b>  <b>INFORMATION DISCLOSURE STATEMENT</b>  <b>IN AN APPLICATION</b> (Use several sheets if necessary)	Docket Number: 60001.0272US01/MS 301788.1	Application Number: 10/663,291
	Applicant: SAREEN ET AL.	
	Filing Date: 09/16/2003	Group Art Unit: 2127

U.S. PATENT DOCUMENTS						
EXAMINER INITIAL	DOCUMENT NO.	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	5,664,133	09/02/1997	Malamud et al.			
	6,133,917	10/17/2000	Feigner et al.			
	6,601,012 B1	07/29/2003	Horvitz et al.			

FOREIGN PATENT DOCUMENTS							
	DOCUMENT NO.	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
						YES	NO

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)		
		Cesta, A. et al., "Active Interfaces for Useful Software Tools," <i>Symbiosis of Human and Artifact: Human and Social Aspects of Human-Computer Interaction</i> , Vol. b, pp. 225-230 (©1995)
		Hinde, S., "Spam, scams, chains, hoaxes and other junk mail," <i>Computers &amp; Security</i> , Vol. 21, No. 7, pp. 592-606 (2002)
		Marx, M. et al., "CLUES: Dynamic Personalized Message Filtering: Proceedings of the ACM 1996 Conference on Computer Supported Cooperative Work," <i>Computer Supported Cooperative Work</i> , pp. 113-121 (1996)
		Payne, T. et al., "Interface agents that learn: An investigation of learning issues in a mail agent interface," <i>Applied Artificial Intelligence</i> , Vol. 11, No. 1, pp. 1-32 (Jan.-Feb. 1997)
		Pollock, S., "A rule-based message filtering system," <i>ACM Transactions on Office Information Systems</i> , Vol. 6, No. 3, pp. 232-254 (©July 1988)
		Shoval, P. et al., "Strategies for filtering E-mail messages combining content-based and sociological filtering with user-stereotypes," <i>Next Generation Information Technologies and Systems, 4th International Workshop</i> , Vol. 1649, pp. 40-49 (©1999)

<b>27488</b> PATENT TRADEMARK OFFICE
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EXAMINER	DATE CONSIDERED
EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form for next communication to the Applicant.	